

Receipt date: 08/06/2010

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

10710362 - GALL 2453

Provisional

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10710362
Filing Date	2004-07-04
First Named Inventor	Eric Schneider
Art Unit	2453
Examiner Name	Ondrej C. Vostal
Attorney Docket Number	93767-1

U.S.PATENTS						<input type="button" value="Remove"/>
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/O.V./	1	4155042		1979-05-15	Permut, et al.	
/O.V./	2	4190800		1980-02-26	Kelly Jr., et al.	
/O.V./	3	4196310		1980-04-01	Forman et al.	
/O.V./	4	4390876		1983-06-28	Bjorklund et al.	
/O.V./	5	4486853		1984-12-04	Parsons	
/O.V./	6	4754326		1988-06-28	Kram et al.	
/O.V./	7	4811382		1989-03-07	Sleevi	
/O.V./	8	4823265		1989-04-18	Nelson	

<p>Receipt date: 08/06/2010</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Not for submission under 37 CFR 1.99)</p>			Application Number		10710362	10710362 - GAU: 2453		
			Filing Date		2004-07-04			
			First Named Inventor		Eric Schneider			
			Art Unit			2453		
			Examiner Name			Ondrej C. Vostal		
			Attorney Docket Number			93767-1		

/O.V./	9	4903206		1990-02-20	Itoh et al.	
/O.V./	10	4956771		1990-09-11	Nuestaedter	
/O.V./	11	4956875		1990-09-11	Bernard et al.	
/O.V./	12	5500561		1996-03-19	Wilhelm	
/O.V./	13	5109486		1992-04-28	Seymour	
/O.V./	14	5155837		1992-10-13	Liu et al.	
/O.V./	15	5155847		1992-10-13	Kirouac et al.	
/O.V./	16	5175681		1992-12-29	Iwai et al.	
/O.V./	17	5231570		1993-07-27	Lee	
/O.V./	18	5249230		1993-09-28	Mihm, Jr.	
/O.V./	19	5249275		1993-09-28	Srivastava	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Not for submission under 37 CFR 1.99)</i>			Application Number	10710362	10710362 - GAU: 2453
			Filing Date	2004-07-04	
			First Named Inventor	Eric Schneider	
			Art Unit	2453	
			Examiner Name	Ondrej C. Vostal	
			Attorney Docket Number	93767-1	

/O.V./	20	5319699		1994-06-07	Kerihuel et al.	
/O.V./	21	5321740		1994-06-14	Gregorek et al.	
/O.V./	22	5386369		1995-01-31	Christiano	
/O.V./	23	5402490		1995-03-28	Mihm, Jr.	
/O.V./	24	5404231		1995-04-04	Bloomfield	
/O.V./	25	5404505		1995-04-04	Levinson	
/O.V./	26	5963205		1999-10-05	Sotomayor	
/O.V./	27	5437031		1995-07-25	Kitami	
/O.V./	28	5444823		1995-08-22	Nguyen	
/O.V./	29	5446891		1995-08-29	Kaplan et al.	
/O.V./	30	5534734		1996-07-09	Pugh et al.	

<p>Receipt date: 08/06/2010</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(Not for submission under 37 CFR 1.99)</p>			Application Number		10710362	10710362 - GAU: 2453		
			Filing Date		2004-07-04			
			First Named Inventor		Eric Schneider			
			Art Unit			2453		
			Examiner Name			Ondrej C. Vostal		
			Attorney Docket Number			93767-1		

/O.V./	31	5535257		1996-07-09	Goldberg et al.	
/O.V./	32	5544036		1996-08-06	Brown, Jr. et al.	
/O.V./	33	5572438		1996-11-05	Ehlers et al.	
/O.V./	34	5576700		1996-11-19	Davis et al.	
/O.V./	35	5592620		1997-01-07	Chen et al.	
/O.V./	36	5598464		1997-01-28	Hess et al.	
/O.V./	37	5600778		1997-02-04	Swanson et al.	
/O.V./	38	5603034		1997-02-11	Swanson	
/O.V./	39	5623679		1997-04-22	Rivette et al.	
/O.V./	40	5623681		1997-04-22	Rivette et al.	
/O.V./	41	5625818		1997-04-29	Zarmer et al.	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Not for submission under 37 CFR 1.99)</i>			Application Number		10710362	10710362 - GAU: 2453	
			Filing Date		2004-07-04		
			First Named Inventor		Eric Schneider		
			Art Unit		2453		
			Examiner Name		Ondrej C. Vostal		
			Attorney Docket Number		93767-1		

/O.V./	42	5634016		1997-05-27	Steadham, Jr. et al.	
/O.V./	43	5640561		1997-06-17	Satoh et al.	
/O.V./	44	5644625		1997-07-01	Solot	
/O.V./	45	5649186		1997-07-15	Ferguson	
/O.V./	46	5664170		1997-09-02	Taylor	
/O.V./	47	5673252		1997-09-30	Johnson et al.	
/O.V./	48	5684710		1997-11-04	Ehlers et al.	
/O.V./	49	5692132		1997-11-25	Hogan	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

Receipt date: 08/06/2010		Application Number	10710362	10710362 - GAU: 2453
		Filing Date	2004-07-04	
		First Named Inventor	Eric Schneider	
		Art Unit	2453	
		Examiner Name	Ondrej C. Vostal	
		Attorney Docket Number	93767-1	

FOREIGN PATENT DOCUMENTS							Remove	
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

[Add](#)

NON-PATENT LITERATURE DOCUMENTS							Remove
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.					T ⁵
/O.V./	1	OESTERREICHISCHE GESELLSHAFT FUR UNWELT UND TECHNIK; iea-experts group on r&d priority setting and evaluation liberalisation of the electricity market; http://www.oegut.at; March 18, 2002; Austria (in English); pgs. 4 (Sections 3 & 4), 7 Section 3), 8 (Controllable "Dispatchable" Loads; Section 2), 9 (Distributed Energy Resources).					<input type="checkbox"/>
/O.V./	2	O'NEIL, E.; Cumulative Totals of No-Touch, Restricted Maintenance Operations, Alert, Warning, Emergency and Power Watch Notices Issued from 1998 to Present; California Independent System Operator (CAISO); May 29, 2003; USA; pg. 4, Table; pg. 5, Table; pg. 6, Table; pg. 7, Table; pg. 8, Table; pg. 9, Table; pg. 10, Table; pg. 11, Table; pg. 12, Table; pg. 14, Table.					<input type="checkbox"/>
/O.V./	3	PEREZ, PENA, R.; Utility Could Have Halted '03 Blackout, Panel Says; New York Times; http://www.nytimes.com/2004/04/06/national/06BLAC.html; April 6, 2004; USA; paragraphs 1, 2, 3, 4, 9.					<input type="checkbox"/>
/O.V./	4	PURDUM, T.; Statewide Blackouts Ordered as Heat Strains California Grid; New York Times; May 8, 2001; USA; paragraphs 3, 4, 5, 8, 9.					<input type="checkbox"/>
/O.V./	5	SCHMID, E., MCCORKLE, S., O'NEIL, E.; ISO Electric Emergency Notification: Memorandum; California Independent System Operator (CAISO); May 17, 2001; USA; pg. 1, paragraphs 1 & 4; pg. 2, paragraphs 1, 2, 3; pg. 3; pg. 4, paragraph 4 & 5; pg. 5; pg. 6, paragraph 1, pg. 7; pg. 8.					<input type="checkbox"/>
/O.V./	6	UNITED STATES OF AMERICA, FEDERAL ENERGY REGULATION COMMISSION; National Transmission Grid Study, Consolidated List of Recommendations; FERC; May 6, 2002, USA; pgs 2-76 & 3-77 Section 4, 5-79 Section 5.					<input type="checkbox"/>
/O.V./	7	WEISS, J.; EPRI's Enterprise Infrastructure EPRI's Enterprise Infrastructure Security (EIS) Program Security (EIS) Program; July 7, 2000; USA; pgs. 2 through 24.					<input type="checkbox"/>

Receipt date: 08/06/2010		Application Number	10710362	10710362 - GAU: 2453
		Filing Date	2004-07-04	
		First Named Inventor	Eric Schneider	
		Art Unit	2453	
		Examiner Name	Ondrej C. Vostal	
		Attorney Docket Number	93767-1	

/O.V./	8	WELLER, G.H.; A Case Study Review of Technical and Technology Issues for Transition of a Utility Load Management Program to Provide System Reliability Resources in Restructured Electricity Markets; LBNL-52408; Ernest Orlando Lawrence Berkeley National Laboratory; http://certs.lbl.gov/ ; July 2001; USA; pgs. 1 & 2, Section 1; pgs. 3 & 4, Section 2; pgs. 6-13, Sections 3.1 - 3.1.8; pgs. 16-17, Section 3.3, Table 3.1; pgs. 18-40, Section 4; pgs. 41-42, Section 5; Appendix A.	<input type="checkbox"/>
/O.V./	9	WERST, K.L., Why Rotating Outages?; California Independent System Operator (CAISO); August 17, 2001; USA; paragraphs 1, 2, 3, 5, 6, 7.	<input type="checkbox"/>
/O.V./	10	DONAGHY, MELANIE, "Wines & Vines," Sept. 1997, Vol. 78, No. 9, p. 39(6).	<input type="checkbox"/>
/O.V./	11	FAX-Phone Switch for Multi-Ring Telephone Lines; Derwent Abstract; July 1992.	<input type="checkbox"/>
/O.V./	12	"What does your phone number spell?" Internet print-out of www.phonespell.com ; Wayback Machine.	<input type="checkbox"/>
/O.V./	13	"What Words are Hiding in Your Phone Number?" Internet print-out of www.dialabc.com ; Wayback Machine.	<input type="checkbox"/>
/O.V./	14	Courter, Gini; Microsoft Office 2000 Professional Edition, 1999, Sybex, pgs. 92-96, 254-257.	<input type="checkbox"/>
/O.V./	15	www.phonetic.com ; Internet print-out; Wayback Machine.	<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

EXAMINER SIGNATURE

Examiner Signature	/Ondrej Vostal/	Date Considered	Oct 2010
--------------------	-----------------	-----------------	----------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.